



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Complete if Known

Application No.	10/802,646
Filing Date	March 16, 2004
First Named Inventor	Harlan Seymour
Art Unit	2164
Examiner Name	Alicia M. Lewis
Attorney Docket Number	20423-08590

Sheet 1 of 4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/AL/	A1	US-7,120,645	10-10-2006	Manikutty et al.
/AL/	A2	US-7,085,928	08-01-2006	Schmid et al.
/AL/	A3	US-2006/0117386 A1	06-01-2006	Gupta et al.
/AL/	A4	US-6,928,553	08-09-2005	Xiong et al.
/AL/	A5	US-2005/0138006 A1	06-23-2005	Bennett et al.
/AL/	A6	US-6,839,850	01-04-2005	Campbell et al.
/AL/	A7	US-2004/0250134 A1	12-09-2004	Kohler et al.
/AL/	A8	US-2004/0260945 A1	12-23-2004	Raika et al.
/AL/	A9	US-2004/0205360 A1	10-14-2004	Norton et al.
/AL/	A10	US-6,775,827	08-10-2004	Harkins
/AL/	A11	US-6,775,657	08-10-2004	Baker
/AL/	A12	US-2004/0098623 A1	05-20-2004	Scheidell
/AL/	A13	US-2003/0221123 A1	11-27-2003	Beavers
/AL/	A14	US-2003/0188189 A1	10-02-2003	Desai et al.
/AL/	A15	US-2003/0154402 A1	08-14-2003	Pandit et al.
/AL/	A16	US-2003/0145226 A1	07-31-2003	Bruton et al.
/AL/	A17	US-6,598,038	07-22-2003	Guay et al.
/AL/	A18	US-2003/0051026 A1	03-13-2003	Carter et al.
/AL/	A19	US-2002/0157020 A1	10-24-2002	Royer
/AL/	A20	US-2002/0087882 A1	07-07-2002	Schneider et al.
/AL/	A21	US-6,356,887	03-12-2002	Berenson et al.
/AL/	A22	US-6,128,740	10-03-2000	Curry et al.
/AL/	A23	US-6,088,803	07-11-2000	Tso et al.
/AL/	A24	US-5,826,076	10-20-1998	Bradley et al.
/AL/	A25	US-5,742,806	04-21-1998	Reiner et al.
/AL/	A26	US-5,664,172	09-02-1997	Antoshenkov
/AL/	A27	US-5,584,024	12-10-1996	Shwartz

Examiner Signature	/Alicia Lewis/	Date Considered	07/26/2007
--------------------	----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

20423/08590/DOCS/1682875.1

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/802,646
				Filing Date	March 16, 2004
				First Named Inventor	Harlan Seymour
				Art Unit	2164
				Examiner Name	Alicia M. Lewis
Sheet	2	of	4	Attorney Docket Number	20423-08590

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
/AL/	B1	WO 01/71499 A1	09-27-2001	Counterpane Internet Security Inc.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶
/AL/	C1	AirCERT web page, last updated 9/18/00 [online]. Cert.org [retrieved 4/18/03]. Retrieved from the Internet<URL:http://www.cert.org/kb/aircert/>.			
/AL/	C2	Analysis Console for Intrusion Detection (ACID) web page [online]. Andrew.cmu.edu [retrieved 4/18/03]. Retrieved from the Internet<URL:http://www.andrew.cmu.edu/~rdanyilw/snort/snortacid.html>			
/AL/	C3	"Caltarian Security Technology Platform," Riptech web pages [online]. Symantec.com [retrieved 4/18/03]. Retrieved from the Internet<URL:http://winterprisesecurity.symantec.com/Content/displayPDF.cfm?SSSPDFID=35&EID=O>.			
/AL/	C4	Change log for Analysis Console for intrusion Detection (Acid), indicating release date of 9/8/00 [online]. Andrew.cmu.edu [retrieved 4/18/03]. Retrieved from the Internet:<URL:http://www.andrew.cmu.edu/~rdanyilw/snort/CHANGELOG>.			
/AL/	C5	CyberGuard Corporation, "CyberGuard and Webwasher: The Value Proposition," A CyberGuard Corporation White Paper, May 2004, 6 pages.			
/AL/	C6	e=SECURITY, INC., Correlation Technology for Security Event Management, October 7, 2002 [online]. eSecurityIns.com [retrieved 4/18/03]. Retrieved from the Internet:<URL:http://www.esecurityinc.com/downloads/Correlation_WP.pdf>.			
/AL/	C7	Marketing, "Digital Certificates – Best Practices – A Microdasys Whitepaper," bestpractice.doc, Revision 1.1 (July 31, 2003), 6 pages, Czech Republic.			
/AL/	C8	Microdasys, "S C I P Secured Content Inspection: Protecting the Enterprise from CryptoHacks," 2003 by Microdasys Inc., 2 pages, Czech Republic.			
/AL/	C9	MyNetWatchman.com web pages indicating 9/00 beta release [online]. MyNetWatchman.com [retrieved 4/18/03]. Retrieved from the Internet<URL:http://www.mynetwatchman.com/mynetwatchman>.			
/AL/	C10	Network Computing Solutions – "Microdasys SCIP" [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL:http://www.ncs.cz/index.php?language=en&menuItem=4&subItem=13>, 2 pages, Czech Republic.			

Examiner Signature	/Alicia Lewis/	Date Considered	07/26/2007
--------------------	----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

20423/08590/DOCS/1682875.1

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/802,646
Filing Date	March 16, 2004
First Named Inventor	Harlan Seymour
Art Unit	2164
Examiner Name	Alicia M. Lewis
Attorney Docket Number	20423-08590

Sheet 3 of 4

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
/AL/	C11	Network Computing Solutions - NSC Homepage – News [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://nsc.cz/index/php?language=en&menuitem=0&subitem=4&subitem=13 >, 3 pages, Czech Republic.	
/AL/	C12	PARKHOUSE, Jayne, "Pelican Save TNet 2.0," [online] June 2000, SC Magazine Product Review, [retrieved 12/1/2003] Retrieved from the Internet<URL: http://www.scmagazine.com/standalone/pelican/sc_pelican.html >.	
/AL/	C13	"PostgreSQL Interactive Documentation," May 2001, [online] [Archived by http://archive.org on June 6, 2001; Retrieved on December 19, 2006] Retrieved from the Internet<URL: http://web.archive.org/web/20010606011227/www.postgresql.org/docs/index.php?overv...>	
/AL/	C14	2000 Review of eSecurity product on Network Security web page [online]. SCMagazine.com [retrieved 4/18/03]. Retrieved from the Internet<URL: http://www.scmagazine.com/scmagazine/2000_12/testc/network.htm#Open >.	
/AL/	C15	SCHNEIER, Bruce, Managed Security Monitoring: Network Security for the 21 st Century, 2001 [online]. Conterpane.com [retrieved 4/18/03]. Retrieved from the Internet<URL: http://www.counterpane.com/msm.pdf >	
/AL/	C16	SCIP Product, Microdasys – "The need to control, inspect and manage encrypted webtraffic." [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.microdasys.com/scipproduct+M54a708de802.html >. Author unknown, 2 pages, Czech Republic.	
/AL/	C17	Slashdot.org web pages describing Dshield, dated 11/27/00 [online]. Slashdot.org [retrieved 4/18/03]. Retrieved from the Internet<URL: http://slashdot.org/article.pl?sid=00/11/27/1957238&mode=thread >.	
/AL/	C18	SSL Stripper Home Page, "Security Solutions: Sample Screenshots," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.vroyer.org/sslstripper/index.html >, 2 pages, October 15, 2004, U.S.A.	
/AL/	C19	"SSL Stripper Installation Guide," [online]. Retrieved in March 2005 from the Internet<URL: http://www.sslstripper.com >, 2 pages, U.S.A.	
/AL/	C20	SSL Stripper Sample Screenshots. "Security Solutions: Sample Screenshots," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.vroyer.org/sslstripper/screenshots.html >, 3 pages, October 15, 2004, U.S.A.	
/AL/	C21	Symantec™ Incident Manager http://enterprisesecurity.symantec.com , copyright date June 2003.	
/AL/	C22	Web page, announcing 11/11/00 release of Dshield [online]. Deja.com [retrieved 4/18/03]. Retrieved from the	

Examiner Signature	/Alicia Lewis/	Date Considered	07/26/2007
--------------------	----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

20423/08590/DOCS/1682875.1

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete If Known**

Application No.	10/802,646
Filing Date	March 16, 2004
First Named Inventor	Harlan Seymour
Art Unit	2164
Examiner Name	Alicia M. Lewis
Attorney Docket Number	20423-08590

Sheet

4

of

4

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		Internet<URL: http://groups.google.com/groups?selm=8vm48v%245pd%241%40nnrp1.deja.com&oe=UTF-8&output=plain>.	
/AL/	C23	Webwasher AG/Full feature set, "Full feature set," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/ssl_scanner/full_feature_set..html?l...>, 2 pages.	
/AL/	C24	Webwasher AG/Webwasher Anti Spam, "Webwasher Anti Spam," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/anti_spam/index.htm...>, 1 page.	
/AL/	C25	Webwasher AG/Webwasher Anti Virus, "Webwasher Anti Virus," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/anti_virus/index.html...>, 2 pages.	
/AL/	C26	Webwasher AG/Webwasher Content Protection, "Webwasher Content Protection," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/content_protection/index.html>, 2 pages.	
/AL/	C27	Webwasher AG/Webwasher 1000 CSM Appliance, "Webwasher 1000 CSM Appliance," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/scm_appliance/index...>, 2 pages.	
/AL/	C28	Webwasher AG/Webwasher SSL Scanner, "Webwasher SSL Scanner," [online]. Retrieved on March 118, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/ssl_scanner/index.html>, 2 pages.	
/AL/	C29	Webwasher AG/Webwasher URL Filter, "Webwasher URL Filter," [online]. Retrieved on March 18, 2005. Retrieved from the Internet<URL: http://www.webwasher.com/enterprise/products/webwasher_products/webwasher_url_filter...>, 1 page.	

Examiner
Signature

/Alicia Lewis/

Date
Considered

07/26/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

20423/08590/DOCS/1682875.1